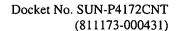
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)			Application Number Filing Date		10/661,043 September 12, 2003	
			Art Un	it	Unassigned	
			Exami	ner Name	Unassigned	_
			Total Number of Pages in This Submission			Attorn
		ENCLO	SURES	(check all that apply)		_
Fee Transmittal Form		☐ Drawing(s)			After Allowance Communication to Group	_
Fee Attached		Licensing-related Papers		ed Papers	Appeal Communication to Board of Appeals and Interferences	
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After Final	Petition to Convert to a Provisional Application			Proprietary Information		
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address			Status Letter	
Extension of Time Request		Terminal Disclaimer			Other Enclosure(s) (please identify below):	
.		Request for Refund			1449 citing 8 pieces of art	
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Information Disclosure Statement		·				
Certified Copy of Priority Document(s)		Rema	rks		•	
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
0	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT	
Firm or John P. Schaub, Reg. No. 42,125 Individual name						
Signature Mr / Mr Date Z/4/04				·	·	
⁻ Date						
		CE	RTIFICA	ATE OF MAILING		_
I hereby certify that this of Service with sufficient pour Alexandria, VA 22313-14	ostage as first o	class mail ir	simile tra	nsmitted to the USPTO relope addressed to: Co	or deposited with the United States Postal ommissioner for Patents, P.O. Box 1450,	
Typed or printed name Carol Diez		6-				
		- DX			Pate 2/1/- 1	_

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to precess) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Judith E. Schwabe

SERIAL NO.:

10/661,043

FILING DATE:

September 12, 2003

TITLE:

Remote Incremental Program Verification Using API Definitions

EXAMINER:

Unassigned

ART UNIT:

Unassigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, AA 22313-1450, on the date printed below:

Date: 2/4/04

Name:

Carol Diez

COMMISSIONER FOR PATENTS P.O. Box 1450 ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a copy of each is attached, may be material to the examination of the above-identified application and is, therefore, submitted in compliance with the duty of disclosure defined in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; (3) before the mailing date of a first office action on the merits, or (4) before the mailing of a first office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698.

III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information statement; or
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted, THELEN REID & PRIEST LLP

Dated: February _____, 2004

John P. Schaub

Reg. No. 42,125

THELEN REID & PRIEST LLP P.O. Box 640640 San Jose, CA 95164-0640 (408) 292-5800 direct dial (408) 287-8040 direct fax

Atty. Docket No. Serial No. U.S. Department of Commerce SUN-P4172CNT 10/661,043 Patent and Trademark Office Applicant: Judith E. Schwabe Information Disclosure Statement by Applicant Filed: September 12, 2003 Group: Unassigned (Use several sheets if necessary) **U.S. Patent Documents** Name Class Subclass Filing Date Init. Document No. Date 5,613,101 5/18/97 Lillich Α **Foreign Documents** Translation Country Class Subclass Yes No Document No. Date Init. EP 0 498 130 A2 12/10/91 EP В EP 0 778 520 A2 11/27/96 EP $\overline{\mathsf{C}}$ WO WO 00/46666 8/10/00 D WO 00/25278 5/4/00 W.O E Other Documents (Including Author, Title, Date, Pertinent Pages, etc.) Suresh Subramanian, "CRUISE: Using Interface Hierarchies to Support Software Evolution", IEEE, 1988, F pp. 132-142. International Search Report, PCT/US 01/28579, International filing date September 12, 2001, date Search G Report mailed- January 5, 2004. International Search Report, PCT/US 01/28688, International filing date September 14, 2001, date Search H report mailed- January 5, 2004. Date Considered Examiner Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.